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18 Date: July 23, 2008  
19 Purpose: Proposed changes against P1619.3/D3 to incorporate [Add Sections 4, 5 & 6 into the draft.]  
20 [NOTE: Draft number proposed against should replace red x]

## 21 Introduction

22 The P1619.3 [subgroup or ad hoc committee] has been working on a proposal to create [Fill in an overview of what  
23 the committee is proposing].

24  
25 *[Please delete anything between brackets (including the brackets) and replace with the appropriate proposals]*

### 26 *[Rules and Guidance]*

- 27  
28 a) *Diagrams can be submitted in color or grayscale. You may be asked to convert it if time is not on the*  
29 *editor's side at the moment.*
- 30 b) *All [black bracketed] text should be replaced with the appropriate information.*
- 31 i) *Note please delete this bullet completely. The format of the text is there for example purposes.*
- 32 c) *All text in dark red italics should be cut out of a document prior to submission (includes this entire*  
33 *section with numbering).*
- 34 d) *If you have verbiage or information that belongs in a section that Bob L. did not include you as creating,*  
35 *ignore Bob L. (this once only) and create away!*

- 1 e) *All dates that must be updated are automatically updated as you open & save the document. You should*  
2 *replace them with fixed dates for proposal and tracking purposes.*
- 3 f) *If you are not running a PC with Windows using Word, do not enable the macros. You should also avoid*  
4 *deleting them. Any documents that have to be cut and pasted back into a good macro document will cost*  
5 *you \$25 to be put towards the WTSS subgroup (see P1619.3 meeting minutes dated September 17 2007).*
- 6 g) *Bob Lockhart will be participating off and on in all subgroups to monitor progress and assist with the*  
7 *documents as needed.]*

## 8 **Changes to P1619.3/D3**

9 *[Change the red x to the appropriate draft number]*

10 *[Note any sections that are to be completely removed from the existing document.]*

11 *[Note sections that contain changes if the section is not to be fully deleted.]*

## 12 **1. Normative References**

13 *[Include any normative references in this section]*

## 14 **2. Definitions, acronyms, and abbreviations**

15 For the purposes of this proposal, the following terms and definitions apply. *The Authoritative Dictionary of IEEE*  
16 *Standards, Seventh Edition, should be referenced for terms not defined in this clause.*

17

18 *[Ensure that definitions, acronyms and abbreviations do not already exist in the above reference]*

### 19 **2.1 Definitions**

20 *[2.1.i)term1: select the 'definitions' and select 'format terms and definitions' in the IEEEStdTemplate tool bar. If*  
21 *you do not have macro enabled please enter the number manually.]*

**Formatted:** IEEEStd  
DefTerms+Numbers, Font: Italic,  
Font color: Red, Do not check spelling  
or grammar

**Deleted:** 2.1

### 22 **2.2 Acronyms and abbreviations**

23 *[LAH List Acronyms (and/or abbreviations) Here]*

24

25 *[I have to manually edit the numbers here so just use standard body text formatting.]*

## 26 **3. General Overview**

27 *[Place any overview information as it pertains to the proposal in this section. Use section numbers appropriately.*  
28 *The editor reserves the right to move information from any section to a more appropriate section based on*  
29 *workgroup feedback]*

30 *[Architecture and Name Space belong in this section]*

31 *[We may need to move some or all of Name Space to section 5 or give it a separate section]*

## 1 4. Key Management Objects

2 This section describes KM objects as they are transmitted across the wire to a KM client. Attributes that are  
3 'persistent' across clients are listed in 'bold font'. Attributes that are 'optional' are listed in 'italicized font'.

### 4 4.1 Key

5 **Scope:** Client & Server.

6 The Key object consists of the key blob (potentially wrapped) along its meta-data.

#### 7 4.1.1 Attributes

8 A key object distributed by a KMS contains the following attributes:

9 — **KEY\_ID** (Type: SO\_GUID)

10 — **FRIENDLY\_NAME** (Optional: Type:String) Not necessarily unique within a KMS as additional  
11 attributes may be used to make a unique reference.

12 Note: It should be possible to request a key by its Friendly\_name (plus additional reference attributes if  
13 needed), and this may be used to hold prior key names for legacy key applications.

14 — **STATE** (Type:String) EDITORIAL: Reference back to the relevant section.

15 — **T\_EXPIRED** (Type: UTC - time beyond which the key should not be used to encrypt new data)

16 — **T\_DISABLED** (Type: UTC - time beyond which the key should be used)

17 — **T\_CACHED** (Type: 64-bits – seconds that the key may be cached for. This may be differentiated by  
18 endpoint)

19 — **CIPHER\_TYPE** (Type:String OID – **TODO:** Insert )

20 — **KEY\_BLOB** (Object as defined in the next section) (This may be differentiated by endpoint, and is  
21 constructed from an immutable key value, the storage and representation of which is outside of this standard)

22 — *VENDOR\_SPECIFIC\_EXTENSIONS*

23 — *APPLICATION\_EXTENSIONS*

24 — *CACHING\_POLICY*

25 — (VERSIONING\_INFORMATION:)

26 Narrative: A KMS shall represent versioning of Key objects using two values: Version, which is an  
27 incrementally increasing value, representing changes to Key attributes, including changes to policies  
28 referenced by the key, and a GMT dateTime value representing the time of the last change.  
29 KMS\_Clients may treat the combination as an opaque token, or use the values to protect against  
30 updates of stale copies. KMS servers may construct these values customized to the requestor, or  
31 maintain them globally independent of the endpoints. (for example, if a policy for a key changes, but  
32 that change is not relevant for an endpoint, the KMS may or may not represent update the  
33 versioning\_Information. Versioning information will not change due to auditing activity, reference,  
34 inclusive Realm\_Associations or backup.

35 — **VERSION** (Type: unsigned Numeric)

36 (NOTE: It must be possible for an endpoint to request key object if altered since a reference version)

37 — **EDIT\_DATETIME** (TYPE: DATETIME)

38 (NOTE: It must be possible for an endpoint to request a list of key objects altered since a reference  
39 time)

**Comment [MM1]:** note to bob: put  
this note into referenced section

1  
2 In addition, a KMS must be capable of representing the following attributes and references:

3 — REALM\_ASSOCIATIONS  
4 (Note: keys will not be delivered to endpoints without compatible Realm rights)

5 — WRAPPING\_POLICY

6 — DESCRIPTION (Type:String)

7 — ATTRIBUTE\_ASSOCIATIONS

8 (A list of named value pairs useable as an alternate mechanism to define or reference a key . Keys can  
9 be retrieved by their key\_ID or alternatively by an ANDED match on these NVPs)

**Comment [MM2]:** source removed.  
my misreading of the nist spec

**Deleted:** <#>SOURCE ¶  
Represents the identity of the originator  
of the key value. (a specificKMS, a  
specific client, a specific PEP)¶

11 In addition, a KMS must be capable of representing the following associations:

12 — USE\_BY\_CLIENTS

13 (Note: does not alter Versioning Information for a key itself. Note since any given key may be used by  
14 multiple clients or CUs, this tracking must be maintained, so the KMS is capable of initiating  
15 unsolicited updates to a KMS Client when a key's attributes or policies change)

**Deleted:** PEP

16 — USE\_BY\_CUS (see above note)

**Deleted:** PEP

17 **4.1.2 States**

18 As defined in the key state diagram (Editorial: as defined by the architecture sub-committee)

19 **4.1.3 Operations**

20 — Create

21 — Get

22 — Store

1 **4.2 Key Blob**

2 **4.2.1 Attributes**

3 — ProtocolVersion (Type:int and defined as 1 for this version of the standard – This attribute will be  
4 remain constant for all clients for this version of the standard.)

5 — WRAPPING\_TYPE (Type:String) – and can take any of the values as listed in the key wrapping  
6 section.

7 — Length (Type:int)

8 — Data (Type: Character Array)

9 Editorial: CMS will be used to wrap keys. The updates necessary to add key wrapping will be done at a later  
10 point.

1 **4.3 Key\_Template**

2 **Scope:** Client & Server.

3 The Key\_Template object consists of attributes and policies which may be inherited when creating a key (either by  
4 the KMS Admin, or by a key request). It does not represent any actual key.

5 It should be possible to make a key creation request “byTemplate”, with or without additional dataset bindings. It is  
6 not possible to make a key retrieval request “byTemplate” without distinguishing dataset bindings.

7 Discussion: I suppose one could think of “template” as an additional “dataset binding” and use the same service as  
8 previously envisioned. The important notion here is the ability to predefine all the policy and attributes into some  
9 template to avoid having to manage all this separately.

10 **4.3.1 Attributes**

11 A Key\_Template object defined within a KMS contains the following attributes:

- 12 — **KEY\_TEMPLATE\_ID** (Type: SO\_GUID)
- 13 — **FRIENDLY\_NAME** (Optional: Type:String) Unique within a KMS  
14 Note: It should be possible to request a key creation by template using its Friendly\_name
- 15 — **CIPHER\_TYPE** (Type:String OID – **TODO:** Insert )
- 16 — *VENDOR\_SPECIFIC\_EXTENSIONS*
- 17 — *APPLICATION\_EXTENSIONS*
- 18 — *CACHING\_POLICY*
- 19 — (VERSIONING\_INFORMATION:)
  - 20 — VERSION (Type: unsigned Numeric)
  - 21 — EDIT\_DATETIME (TYPE: DATETIME)
- 22 — REALM\_ASSOCIATIONS
- 23 — *WRAPPING\_POLICY*
- 24 — *DESCRIPTION* (Type:String)

1 **4.4 ENDPOINT\_TYPE**

2 | Endpoint\_Type is an object to simplify the need to exchange capabilities between a KMS\_CLIENT or CU and a  
3 | KMS\_SERVER, as well as managing a collection of capabilities at the Server. (Discussion point: It would be  
4 | desirable if these values were standardized in some registry.) An Endpoint\_Type will always equate to a  
5 | deterministic set of capabilities, though the converse need not be true. During registration, KMS\_Clients or CUs  
6 | will present identifying information that will allow a KMS\_Server to map it to an Endpoint\_Type.

Deleted: PEP

Deleted: PEP

7 **4.4.1 Attributes**

- 8 — ENDPOINT\_TYPE\_ID (Type:TBD)
- 9 — CAPABILITIES (Note: common registry should allow retrieval of such characteristics as has-  
10 certificate, understands-time, min and max keyID lengths, never-exposes-key, hasHSM, etc.)

1 **4.5 REALM (optional)**

2 Realms are used to segment objects into separate administrative domains.

3 Administrative users and endpoints requesting key services will have “RealmAssociations” which will allow many  
4 to many representations specifying differing rights. For example, a policy may be deleted by an administrator  
5 belonging to a realm which also has delete capabilities on the policy, while an administrator in a realm with only  
6 read rights may use the policy, but can not delete or edit it. While a KMS may implement administrative “Roles”,  
7 Realms allow segmentation based on data characteristics rather than functional capabilities.

8 When a KMS provides Realm support, the KMS must insure no object is assessable unless the requesting endpoint  
9 or administrator has been properly associated with an incorporating realm that allows the access. Realms must  
10 allow the following distinctions: create, edit, delete, read, reference (use but not view). The most privileged right  
11 from any associated realm may be use to determine an access.

12

13 **4.5.1 Attributes**

14 | — REALM\_ID (Type **tbid**, where domain is a string that is in compliance with DNS name as defined by  
15 RFC 1034.

16 — DESCRIPTION

**Comment [MM3]:** may be an so\_guid, with Realm being a Object type. bob to elaborate

**Deleted:** :Realm://domain/realm-name



1 | **4.6 CU (Policy Enforcement Point / Cryptographic Unit)** Deleted: PEP

2 | Scope: Client & Server

3 | Narrative: While there is no direct communication with a CU, there will be certain end points which may want to

4 | present an identity to the KM Server, so key information can be conveyed in a secure and predictable manner to the

5 | CU. Deleted: PEP

6 | **4.6.1 Attributes**

7 | — CU\_ID (Type:SO\_GUID) Deleted: PEP

8 | — Array of Name, Value pairs.

9 | NOTE: The name of the attributes that are part of the CU object shall follow the following convention:

10 | CU://domain/context/attribute-name where domain is a string that is in compliance with DNS name as defined by

11 | RFC 1034. Deleted: pep

12 | In addition – the following domin/context combination – ieee.org/siswg/ is reserved for use by this standard.

13 | — REALM\_ASSOCIATIONS (Server Only)

14 | — ENDPOINT\_TYPE\_ID (TYPE:TBD Server Only.)

15 | DiscussionPoint: ~~Prefer this to be some IEEE registry~~—note: KMS Clients shall provide a

16 | CIM\_PhysicalElement or CIM\_SoftwareIdentity object upon registration of a CU, which will allow a

17 | KMS to map an entity to a TYPE\_ID. Or perhaps we define a new CIM object derived from common

18 | ones to include capabilities we want that may not be determined by attributes in the mentioned CIM

19 | objects.

20 | — CLIENT\_ASSOCIATIONS (Server Only)

21 | Note this might be done with a Client\_Group. A CU will initially be associated with full rights

22 | granted to its registering Client. Other behaviors to manage associations is outside the scope of this

23 | spec., but a KMS must be capable of conforming to a policy or configuration that prevents a CU from

24 | receiving its key from an “unauthorized” client. This can easily be accomplished by restricting access

25 | to keys both to authorized KMS clients and authorized CUs.) Deleted: PEP

26 | — AUTHENTICATION\_POLICY Formatted: Strikethrough

27 | — AUTHENTICATION\_VALUES (It must be possible for a CU to authenticate to the KMS through an

28 | untrusted KMS\_CLIENT) Deleted: PEP

29 | — List of {CREDENTIAL\_LENGTH,CREDENTIAL\_VALUE} tuples.

30 | — WRAPPING\_POLICY. (Note: this may typically be inherited via endpoint\_type\_id)

31 | — WRAPPING\_VALUES ( Since CUs can uniquely protect some wrapping values, such as private keys,

32 | data can pass through a KMS\_Client without exposure) Deleted: PEP

**Comment [MM4]:** Some prefer to avoid a registry. We will try to define initial types within this spec; If we iterate the list of capabilities to be defined, we can always create types to match their various combinations.

1 **4.7 Client**

2 **Scope:** Client & Server.

3 The client object consists of its credentials and capabilities.

4 **4.7.1 Attributes**

5 — CREDENTIAL\_TYPE (Session, Username/Password, Symmetric / Asymmetric key, SSO, CHAP)

6 — List of {CREDENTIAL\_LENGTH,CREDENTIAL\_VALUE} tuples.

7 — REALM\_ASSOCIATIONS

8 — **ENDPOINT\_TYPE\_ID**

9 — WRAPPING\_POLICY

10

11 **4.7.2 States**

12 — Active

13 — Disabled/Locked

14 — Authenticated

15 **4.7.3 Operations**

16 — Create

17 — Delete

18 — Authenticate

19 — Disable

20 NOTE: All of these operations with the exception of authenticate are performed by way of KM Console operations  
21 and are outside the scope of the standard.

1 **4.8 Capability**

2 **4.8.1 Attributes**

3 — Name (Type: String)

4 — [Supports AES 128](#)

5 — [Supports AES 256](#)

6 — [Supports 3DES](#)

7 — [Understands Relative time \(elapsed time\)](#)

8 — [Understands Universal time](#)

9 — [Includes HSM](#)

10 — [Includes TPM-](#)

11 — [Has Cert](#)

12 — [Has PKI key pair](#)

13 — [min and max keyID lengths](#)

14 — [never-exposes-key](#)

15 — [is client-cu Hardware combo](#)

16 — [is Kernel Software](#)

17 — [is User Space Software](#)

18 — [is Hardware](#)

19 — [Utilizes Host for Crypto](#)

20 — [can Persistently Cache keys](#)

21 — [Offers api to provide key in clear](#)

22 — [.. and more tbd](#)

← Formatted: Bullets and Numbering

Comment [MM5]: throwing some out for straw man starting point.

23 **4.8.2 States**

24 None.

25 **4.8.3 Operations**

26 No direct operations. The capability object is sent as part of the capability negotiation operation, or constructible by  
27 reference to an endpoint type



1 **4.9 Data Sets**

2 **Scope:** Client, [CU](#) & Server.

Deleted: PEP

3 Data sets represent manageable units of encrypted data. Data sets are expressed as selection rules that can be applied  
4 to data set attributes such as file path, tape volume id, server IP, or a range of disk blocks. There should be flexibility  
5 in defining what a data set is, depending on the position of the encryption agent "in the stack" of the storage  
6 infrastructure.

7 Once the data sets are identified, keys may be associated to data sets via a key assignment policy.

8 **4.9.1 Attributes**

- 9 — NAME
- 10 — VALUE
- 11 — SIZEOF\_VALUE

1 **4.10 Client Groups**

2 **Scope:** Server only.

3 Clients may be grouped together for ease of management. This grouping may be static – i.e. clients are explicitly  
4 added into a group or dynamic i.e. based on a regular expression match on client attributes.

5 **4.10.1 Attributes**

6 — TYPE (STATIC or DYNAMIC)

7 — List of CLIENT\_SO\_GUIDs (only in case of static binding)

8 — List of {PATTERN, ATTRIBUTE} tuple.

9 — REALM\_ASSOCIATIONS

10

1 **4.11 Key Groups**

2 **Scope:** Server only.

3 Keys may be grouped together for ease of management. This grouping may be static – i.e. explicitly added into a  
4 group or dynamic i.e. based on a regular expression match on dataset attributes.

5 **4.11.1 Attributes**

6 — TYPE (STATIC or DYNAMIC)

7 — List of CLIENT\_SO\_GUIDs (only in case of static binding)

8 — List of {PATTERN, DATASET} tuple.

9 — REALM\_ASSOCIATIONS

10

1 **5. Key Management Policies**

2 A policy is a deliberate plan of action to guide decisions and achieve rational outcome(s). In the same vein, Key  
3 Management Policies are used to guide assignment, retention, wrapping, replication & access control decisions on  
4 keys.

5 The scope of some policy objects will extend to an endpoint.

6 **5.1 Key Assignment Policy**

7 Key Assignment Policies contain logic that is able to determine which data set should be encrypted with which key  
8 using which algorithm (e.g. encrypt and sign all emails sent outside of the company. Sign all tapes with a unique key  
9 per tape, etc.)

10 A key assignment policy determines

11 — the type of unencrypted data that is determined to be encrypted with a specific key.

12 — how often to generate new keys

13

14 Therefore, the key assignment policy encapsulates both key generation and key scope policies. This is done to fit  
15 regular usage patterns. For example, when a tape is loaded into a drive, the drive will request a key by data, and will  
16 receive both a key that may be used on that drive, as well as a policy notifying the drive whether all tapes should be  
17 encrypted with this key, or only the current tape.

18 The key assignment policy is determined by the set of supported data set attributes, and is encoded as a set of name,  
19 value pairs.

20 The policy is interpreted to mean that the key may be used whenever all the named parameters have values equal to  
21 the values of the data presented to the client. So, for example, in the following encryption policy:

22 container attribute name = "storage\_server\_name" value="Ireland.com"

23 data attribute name = "financial"

24 The provided key may be used to encrypt all of the data tagged as "financial" on the storage server named  
25 "Ireland.com" with the key listed in the KeyExchangeStructure.

26 Note that there is a difference between a data set binding and an assignment policy, and the KMS must track both.  
27 An organization may set a policy to encrypt a pool with a specific key, but due to key rotation policies, not all tapes  
28 within the pool will have been encrypted with that key. Therefore, assignment policies specify the current desired  
29 behavior, whereas the KMS will store all data set bindings that are reported to it, for future audit and key query  
30 commands. State logic within the KMS may allow for the automatic creation of key assignment policies based on  
31 the "most recent" data set binding.

32 **5.1.1 Attributes**

33 — KEY\_ASSIGNMENT\_POLICY\_ID

34 — DESCRIPTION

35 — REALM\_ASSOCIATIONS

36



1 **5.2 Retention Policy**

2 **5.2.1 Overview**

3 The retention policy dictates the duration for which protected data, hence the key with which it is encrypted, is  
4 accessible to a given client. It also dictates when new data should no longer be encrypted with a given key.

5 This policy should be superseded by the key life cycle.

6

7 **5.2.2 Attributes**

8 — RETENTION\_POLICY\_ID

9 — DESCRIPTION

10 — REALM\_ASSOCIATIONS

11 — T\_EXPIRATION

12 — T\_DISABLE

13 **5.2.3 States**

14 — Created

15 — Assigned

16 — Enforcing

17 — Disabled

18 **5.2.4 Operations**

19 — Add

20 — Associate

21 — Disassociate

22 — Delete

1 **5.3 Wrapping Policy**

2 The wrapping policy indicates whether a key should be wrapped prior to being dispatched to a client. This policy  
3 may be referenced from the key object, ?a key\_group?, a client object, ?a ClientGroup?, a CU object, or a  
4 Endpoint\_type. If multiple policies are defined then the order of precedence shall be per the following:

Deleted: PEP

5 1. Key shall prevail over KeyGroup

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6 2. Key or KeyGroup shall prevail over CU

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7 3. CU shall prevail over CU's Endpoint\_Type

Deleted: Pep

8 4. Client shall prevail over Client's Endpoint\_Type

9 5. If both a CU and Client specify (or inherit) a Wrapping Policy, the key will be double wrapped, first by  
10 policy prevailing for the CU, then by prevailing ClientPolicy. The KMS\_Client will unwrap the key and  
11 pass the wrapped Client\_Key for subsequent unwrapping.

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12 **5.3.1 Attributes**

13 — WRAPPING\_TYPE (asymmetric / symmetric / signature)

14 — WRAPPING\_MODE (as listed in the key blob section)

15

16 **5.3.2 States**

17 — Created

18 — Assigned

19 — Enforcing

20 — Disabled

21 **5.3.3 Operations**

22 — Add

23 — Associate

24 — Disassociate

25 — Delete

1 **5.4 Audit Policy**

2 Audit policies state the auditing requirements that need to be enforced on keys and clients.

3 *TODO: Bob Lockhart to provide new content.*

4 **5.4.1 Attributes**

5 — Operation type

6 — Event type (to trigger, Log, SNMP trap, etc.) Mandatory: Log

7 — Event Dispatch Destination (Local, SNMP, syslog) Mandatory: Local, syslog.

8 NOTE: The only mandatory type that should be supported is 'log' and the mandatory dispatch destinations are local  
9 and syslog.

10 **5.4.2 States**

11 — Created

12 — Assigned

13 — Enforcing

14 — Disabled

15 **5.4.3 Operations**

16 — Add

17 — Associate

18 — Disassociate

19 — Delete

20

21

1 **5.5 Access/Distribution Policy**

2 Key access policies encode which clients and key management servers may access which keys. This may be  
3 controlled by the clients or CUs, as a key creation request may set the data set bindings of a key, but it is enforced  
4 by the KMS, which lookup tables storing keys to data assignments, and clients to data permissions, always enforcing  
5 any realm restrictions.

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6 In addition, the KMS administrator for a key's realm may alter a key's access and distribution policy.

7 This policy is referenced by a key or key group.

8 Note: this policy governs what endpoints MAY receive a key, but can not be used to determine which endpoints in  
9 fact received any given key.

10 **5.5.1 Attributes**

- 11 — SO\_GUID
- 12 — Client or clientGroup list
- 13 — CU list
- 14 — KM server list.
- 15 — REALM\_ASSOCIATIONS

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16 **5.5.2 States**

- 17 — Created
- 18 — Assigned
- 19 — Enforcing
- 20 — Disabled

21 **5.5.3 Operations**

- 22 — Add
- 23 — Associate
- 24 — Disassociate
- 25 — Delete

1 **5.6 Caching Policy**

2 The key caching policy dictates whether a key shall be cached by a KM client and if so, the duration for which it  
3 can.

4 Attributes

5 — CACHING\_TYPE, T\_CACHE\_INTERVAL tuples (list)

6 Note: It should be possible to allow different time intervals for caching depending on the security of the caching  
7 along different attributes such as HSM, TPM, neverExposedHardware,

8 **5.6.1 States**

9 — Created

10 — Assigned

11 — Enforcing

12 — Disabled

13 **5.6.2 Operations**

14 — Add

15 — Associate

16 — Disassociate

17 — Delete

## 1 **6. Key Management Operations**

### 2 **6.1 Register Endpoint**

3 Scope: KMCS Ops, including registration for KMS\_Client or [CU](#)

Deleted: PEP

4 **Editorial comment** We need to fill this operation out. e.g. Identify who is registering, type and/or capabilities, how  
5 authenticate, certs, etc. send cim object

### 6 **6.2 Authenticate**

7 Scope: KMCS

#### 8 **6.2.1 Overview**

9 A client needs to authenticate with the KM server to perform any sensitive operations. Authentication is  
10 accomplished either at the transport level (SSL/TLS) or at the object/messaging level. Every request shall contain  
11 the “credential” object so that the KM server can validate the client.

#### 12 **6.2.2 Input / Output / Error**

- 13 — (I): Client
- 14 — (O): Credentials (If the request type is login and not validation)
- 15 — (E): E\_INVALID\_CREDENTIALS
- 16 — (E): E\_UNSUPPORTED\_AUTHENTICATION\_MODE
- 17

### 18 **6.3 Capability Negotiation**

19 Scope: KMCS

#### 20 **6.3.1 Overview**

21 The client sends its capabilities to the server and the server returns back a list of capabilities it supports. If none of  
22 the capabilities are supported, then it returns back an empty list.

#### 23 **6.3.2 Input / Output / Error**

- 24 — (I): Client
- 25 — (I): List of Capability Objects
- 26 — (O): List of Capability Objects that are supported by the KM server

### 27 **6.4 Get Server Capabilities**

- 28 — (I): Client
- 29 — (O): List of Capability Objects.

**Comment [MM6]:** note that any object can belong to multiple realms. it is a many to many relationship. Template policies are for inheritance and can be overridden by an object specific policy.

## 1 6.5 Create/Generate Key

2 Scope: KMCS, KM Console

### 3 6.5.1 Overview

4 A client upon authentication invokes the Generate key operation to generate a new key by passing in the  
5 KeyTemplateID and/or DataSet context in which this key would be used so that the KM can apply the appropriate  
6 policies.

### 7 6.5.2 Input / Output / Error

8 — (I): Client

9 | — (I) CU ID or EndPoint's CIM Object - Identifier of final destination for the key.

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10 — (I): List of Dataset objects.

11 — (O): Key (including unique So\_Guid)

12 — (E): ...

## 13 6.6 Store Key

14 Scope: KMCS, KM Console

### 15 6.6.1 Overview

16 Keys that are generated at the client can be stored in the KM server by invoking its store functionality.

### 17 6.6.2 Input / Output / Error

18 (I): Client

19 (I): List of Dataset objects.

20 | (I) CU ID or EndPoint's CIM Object - Identifier of final destination for the key.

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21 (O): Key\_SO\_GUID

22 (I) *Friendly\_Name*

23 (E)...

1 **6.7 Get Key**

2 **6.7.1 Overview**

3 Clients invoke the get key operation to fetch keys from the KM server. They may invoke the query based on either a  
4 Key ID or FriendlyName, and/or based on the Dataset attributes. When querying based on dataset attributes, the KM  
5 returns a key based on the application template and the policies that govern the key and the client.

6 **6.7.2 Input / Output / Error**

7 (I): Client

8 (I): CU

Deleted: PEP

9 (I): List of Dataset objects.

10 (O): Key

11 (E): ...

12 **6.8 Push Audit Message**

13 **6.8.1 Overview**

14 This operation is intended to be used by 'super' clients that maintain local caches of keys and ship them out to  
15 cryptographic units on demand. This will ensure that the KM Server can be a central audit repository for any/all  
16 accesses to keys.

17 Discussion: Marcil: I think this should be broader than presented, which only covers one type of message. For  
18 example, an encrypting drive may be configured locally to unlock the drive and therefore no longer need a key. Or  
19 an existing key may be used for another device. It would be good to have an audit message that reflects these.  
20 Audit messages should also be batchable and uploaded in a file.

21 **6.8.2 Input / Output / Error**

22 (I): Client or CU

Deleted: PEP

23 (I): Key\_SO\_GUID

24 (I): Message (Optional)

25 (O): Boolean – SUCCESS/FAILURE.

26 (E): ...

27 **6.9 Get Random Bytes**

28 **6.9.1 Input / Output / Error**

29 — (I): Client (question: why?)

30 — (I): numbers of bytes desired

31 — (O) Base64 Encoded bytes



1 **6.10 GetStatus --KMS\_Client Service (optional) -- [Server initiated]**

**Comment [MM7]:** this is a contentious point. Worthy of further discussion.

2 Server asking client for status.

3 Discussion: To aid KMS Administrators to provide key management for endpoints, we will need some mechanism  
4 to gather status on endpoints, including operating mode, Keys in use, status of any rekeying, We could try and  
5 define some predetermined status types or just allow these to come back with what the KMS Client and CU can  
6 provide.

**Deleted:** PEP

7 Discussion: This probably matches the concepts of some existing WSMAN service.

- 8 — (I) Target of Interest Type: filter expression
- 9 — (I) *Locale* – requested language for any NVPs
- 10 — (O) *Locale* – language used for NVPs
- 11 — (O) Endpoint + NVPs

12 **6.11 UpdatePending --KMS\_Client Service (optional) [Server initiated]**

13 Server notifying client of relevant updates.

14 KMS\_Clients expose this service. KMS Servers will repeat this service until the client acknowledges currency by  
15 virtue of matching UpdateVersioningTokens. Note, these tokens are used for sequencing between this service and  
16 GetChangeList. A simple implementation of this would be for the KMS Server to maintain a VersioningToken to  
17 represent the latest version of “Everything” for a KMS\_Client or CU and a response to a GetUpdateList that returns  
18 everything.

**Deleted:** PEP

- 19 — (I) Type (Keys, AllKeys and custom types)
- 20 — (I) Scope: (KMS\_Client or CU identifier)
- 21 — (I) UpdateVersioningTokens - KMS server sends its tokens representing the state to which the client (or  
22 CU) needs to update.
- 23 — (O) UpdateVersioningTokens - KMS client sends its tokens representing the state it has received
- 24

**Deleted:** PEP

**Deleted:** PEP

25 **6.12 GetUpdateList --KMS\_Server Service [Client initiated]**

26 Discussion: can this be done with a WS-ENUMERATE service?

- 27 — (I) Type: (Keys, AllKeys and custom types)
- 28 — (I) Scope: (KMS\_Client or CU identifier)
- 29 — (I) UpdateVersioning Tokens (zero values will result in all requested instances of requested type)
- 30 — (O) requested objects
- 31 — (O) New UpdateVersioningTokens
- 32

**Deleted:** PEP

33 **7. Key Management Transport**

34 *[Supported transport protocols go here]*

1 **8. Key Management Messaging**

2 *[This is an additional section that I am proposing we add to help complete the standard. It does not currently exist*  
3 *in Draft 1.]*

4 *[This section would contain normative information for the XML and/or TLV formats we decide to support]*  
5

1 **Annex A**

2 (informative)

3 **Bibliography**

4 *[List all bibliographic material here]*

5

1 **Annex B** (informative)

2 **Example Use Cases**

3 *[Objects & operations or use cases should add the appropriate use cases here]*

4

1 **Annex C** (informative)

2 **XML and TLV Schema Definitions**

3 **C.1 XML Schema**

4 *[Additional messaging group information for selected XML syntax goes here]*

5 **C.2 TLV Schema**

6 *[Additional messaging group information for selected TLV schema goes here]*

7

8